



RECEIVED  
CENTRAL FAX CENTER

SEP 20 2005

Pitney Bowes Inc.

Corporate Counsel  
Intellectual Property & Technology Law  
35 Waterview Drive  
P.O. Box 3000  
Shelton, CT 06484-8000

203-924-3934  
203-924-3919 Fax  
Michael.Cummings@pb.com  
www.pitneybowes.com

## Facsimile

To: Mail Stop AF  
Fax No.: (571) 273-8300  
From: Michael J. Cummings  
Date: September 20, 2005  
Subject: 10/675,362 (F-746)  
Pages: 5 pages including cover sheet

### CERTIFICATION OF FACSIMILE TRANSMISSION

I hereby certify that the following correspondence is being transmitted via facsimile to:

Patent and Trademark Office  
Attention: Mail Stop AF  
Facsimile No. (571) 273-8300

1. Pre-Appeal Brief Request for Review Resubmittal letter for Application No. 10/675,362 (F-746) (4 pages).

on September 20, 2005  
Date of Transmission

Marlene Massop  
Name

  
Signature

September 20, 2005  
Date

Appln. No.: 10/675,362

RECEIVED  
CENTRAL FAX CENTER

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE** **SEP 20 2005**

In re patent application:

Appl. No.	:	10/675,362	Confirmation No.: 5976
Applicant	:	John P. Miller, et al.	
Filed	:	September 30, 2003	
Art Unit	:	2854	
Examiner	:	Ferguson, M.L.	
Attorney Docket No.	:	F-746	
Customer No.	:	00919	Date: September 20, 2005

**METHOD AND APPARATUS FOR CONTINUOUS HIGH SPEED DIGITAL METERING  
USING MULTIPLE PRINT HEADS**

**PRE-APPEAL BRIEF REQUEST FOR REVIEW**  
**RESUBMITTAL**

Mail Stop AF  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

This request is resubmitted in view of the Examiner's refusal to enter a minor amendment submitted after the final office action. The refusal alleged that new issues were raised by the amendment.

In the amendment to claim 1, applicants had added the word "periodic" before the term "ink jet maintenance operation." The Examiner is incorrect in asserting that new issues were raised, because prior to amendment the claim already recited "ink jet maintenance operation; wherein the controller *periodically* takes the print head that is in use out of service to perform maintenance operations." Thus, it can easily be seen that the concept of "periodic maintenance operations" was already included in the claim. It is exactly this

Appln. No.: 10/675,362

concept of the periodic maintenance operations that the Examiner has erroneously failed to identify in the asserted prior art references. As such, Applicants are prepared to go forward with this pre-appeal brief review, with, or without, the after-final amendment being entered. This resubmitted request has been changed slightly to remove the unentered after-final amendment.

Applicants request this pre-appeal review to consider clear errors in the Examiner's rejections of independent claims 1 and 11. These errors include omissions of one or more essential elements needed for a prima facie rejection. Claims 1, 7, 9-11, 17, 19, and 20 are pending in this application. A Notice of Appeal was filed with the original request on September 13, 2005.

#### §103 Rejections

Claims 1, 7, 9-11, 19 and 20 were rejected under 35 U.S.C. § 103(a) as being unpatentable over U.S. Patent 6,361,163 to Fowlkes ("Fowlkes") in view of U.S. Patent 5,829,895 to Hayashi ("Hayashi").

Applicants traverse this rejection because the asserted references, alone or together, do not disclose or suggest all of the features recited in the rejected independent claims 1 and 11. In particular, the references do not include a description or suggestion of periodically switching between two print heads during a maintenance operation in order to maintain continuous high speed processing. Even if for purposes of this review it is assumed the two asserted references may be combined, the combination still fails to describe or suggest all of the claimed features.

The features missing from the asserted combination of Fowlkes and Hayashi are:

from claim 1:

a controller controlling a first one of the upstream or downstream print heads to print postage indicia on transported mail pieces traveling at a print velocity, the controller further switching to a second of the upstream or downstream print heads when the first one undergoes an ink jet maintenance operation; wherein the controller periodically takes the print head that is in use out of service to perform maintenance operations

Appln. No.: 10/675,362

from claim 11:

periodically removing the print head that is in use out of service and performing maintenance operations on the print head, the maintenance operations comprising a print head wipe or a print head purge;

switching to a second of the upstream or downstream print heads for printing when the first one is removed for maintenance operations; and

returning the print head removed from service back into service after performing the maintenance operations.

Typically, the maintenance operations, of the type recited in the claims, only need to be done after hundreds, or thousands of print operations, and only take a few seconds. (See specification, pages 4-5). Accordingly, for slower, low volume, systems such as described in Fowlkes or Hayashi, the maintenance operations can be performed at start-up, between jobs, or so rarely that they are of little inconvenience. It is only at higher speeds, and continuous operation of a large mail processing system that the limitations become important, and potentially preclude operation at desired speeds and throughputs. (Id.)

A combination of Fowlkes and Hayashi, as asserted by the Examiner, would result in a system that could have two print heads. But that system control switching of the print heads differently than claimed in claims 1 and 11. The asserted combination could switch from using one print head to the other print head based on the following circumstances: (1) when a different receiver medium is desired to be printed on and (2) when one print head malfunctions.

This is in contrast to the claimed system and method where switching is based on an "ink jet maintenance operation; wherein the controller periodically takes the print head that is in use out of service to perform maintenance operations." By having both print heads available, continuous high speed, high throughput processing can be achieved. Unlike the asserted references, in the claimed system "subsequent to a maintenance operation the first print head is in a condition to return to service." There is still no teaching or suggestion in the asserted references that switching between print heads is triggered by the maintenance operations. The reason is that neither Fowlkes nor Hayashi address the needs of a

Appln. No.: 10/675,362


"continuous high velocity mail piece processing system" as recited in the preamble of claim 1, and in those asserted references maintenance operations are a non-factor.

Contrary to some of the Examiner's assertions, a malfunction, as described in Fowlkes, does not satisfy the "maintenance operation" limitations. The following are some reasons why: (1) The specification for the present invention defines what maintenance operations are on pages 4 and 5, and they clearly are different than malfunctions.; (2) maintenance operations are controlled to be "periodic," as recited in the claims, unlike a malfunction; and (3) a malfunctioning print head cannot perform the step of "returning the print head removed from service back into service after performing the maintenance operations" (claim 11).

Accordingly, it is respectfully submitted that independent claims 1 and 11 dependent claims 9 and 19, along with their dependent claims 7, 10-11, 17 and 20 should be found allowable in view of these arguments presented above.

In view of these errors, it is requested that the pending rejections be withdrawn and that the pending claims be allowed.

Respectfully submitted,

  
Michael J. Cummings  
Reg. No. 46,650  
Attorney of Record  
Telephone (203) 924-3934

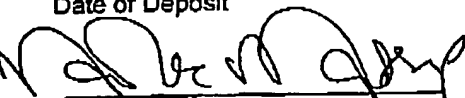
PITNEY BOWES INC.  
Intellectual Property and  
Technology Law Department  
35 Waterview Drive  
P.O. Box 3000  
Shelton, CT 06484-8000

**CERTIFICATE OF FACSIMILE**

I hereby certify that this correspondence is being faxed to the United States Patent and Trademark Office. Attn. Examiner: M.L.Ferguson - on Fax No.: 571-273-8300

on September 20, 2005  
Date of Deposit

Marlene Massop  
Name

  
Signature

September 20, 2005  
Date

(00411204.1)